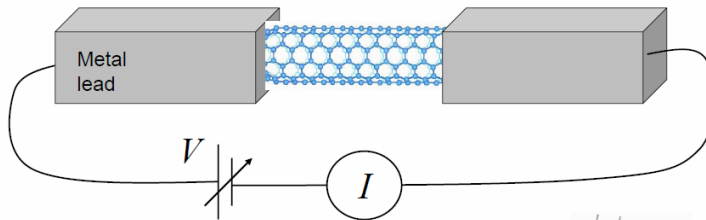
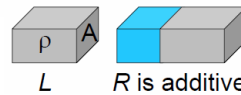


“Ideal” Electrical Resistance in 1-D



- Ohm's Law: $R = V/I$ [Ω]
- Bulk materials, resistivity ρ : $R = \rho L/A$
- Nanoscale systems (*coherent* transport)
 - R ($G = 1/R$) is a global quantity
 - R cannot be decomposed into subparts, or added up from pieces



Charge & Energy Current Flow in 1-D

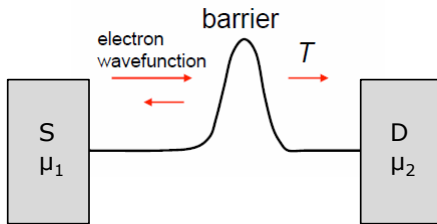
- Remember (net) current $J_x \approx x \times n \times v$ where $x = e$ or E

$$J_e = e \sum_k n_k \mathbf{v}_k = e \sum_k [g_k f_k] \mathbf{v}_k \rightarrow J_e = e \int_k g_k \underbrace{f_k}_{\text{Net contribution}} \mathbf{v}_k dk$$

$$J_E = \sum_k E_k n_k \mathbf{v}_k = \sum_k E_k [g_k f_k] \mathbf{v}_k \rightarrow J_E = \int_k E_k g_k f_k \mathbf{v}_k dk$$

- Let's focus on charge current flow, for now
- Convert to integral over energy, use Fermi distribution

Conductance as Transmission



$$I = \frac{2e}{h} \int f(E)T(E)dE$$

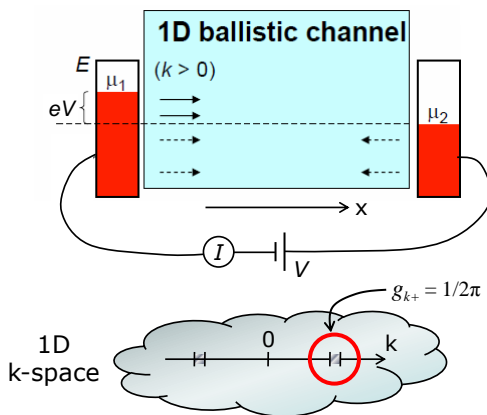


Rolf Landauer (1927-1999)

$$J_e = e \int_k g_k f_k T_k v_k dk$$

- Two terminals (S and D) with Fermi levels μ_1 and μ_2
- S and D are big, ideal electron reservoirs, MANY k-modes
- Transmission channel has only ONE mode, $M = 1$

Conductance of 1-D Quantum Wire



$$I = e \int_k (g_k f_k) T_k v_k dk$$

$v = \frac{1}{\hbar} \frac{dE}{dk}$

$$I = \frac{e}{h} \int_{\mu_2}^{\mu_1} f_1(E) T(E) dE$$

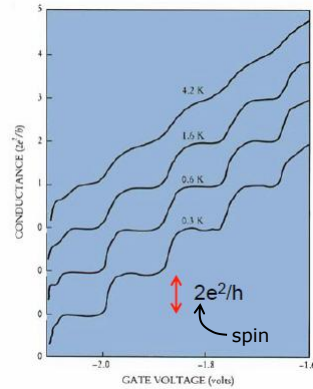
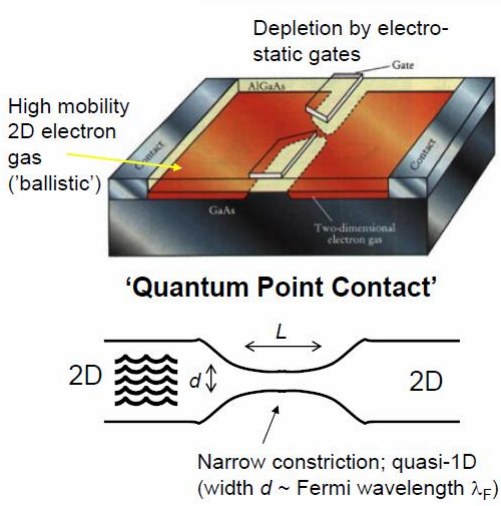
$$G = \frac{I}{V} = \frac{e^2}{h}$$

quantum of electrical conductance (per spin per mode)

- Voltage applied is Fermi level separation: $eV = \mu_1 - \mu_2$
- Channel = 1D, ballistic, coherent, no scattering ($T=1$)

Quasi-1D Channel in 2D Structure

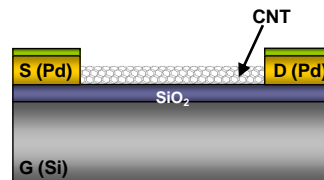
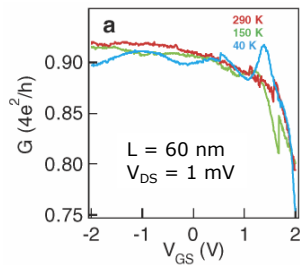
van Wees, *Phys. Rev. Lett.* (1988)



Limited conductance $2e^2/h$ even without scattering, regardless of length L : "contact resistance"

Quantum Conductance in Nanotubes

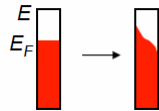
- 2x subbands in nanotubes, and 2x from spin
- "Best" conductance of $4e^2/h$, or lowest $R = 6,453 \Omega$
- In practice we measure higher resistance, due to scattering, defects, imperfect contacts (Schottky barriers)



Javey et al., *Phys. Rev. Lett.* (2004)

Finite Temperatures

- Electrons in leads according to Fermi-Dirac distribution



$$f(E, E_F) = \frac{1}{\exp\left(\frac{E-E_F}{k_B T}\right) + 1}$$

- Conductance with n channels, at finite temperature T :

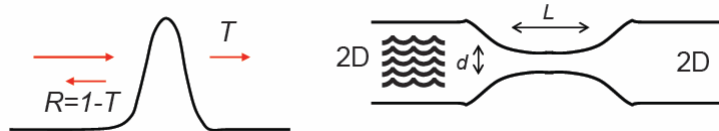
$$G(E_F, T) = \frac{2e^2}{h} \sum_n \int T_n(E) \left(-\frac{df}{dE}\right) dE \approx \frac{2e^2}{h} \sum_n f(E_n - E_F)$$

- At even higher T : “usual” incoherent transport (dephasing due to inelastic scattering, phonons, etc.)

Where Is the Resistance?

S. Datta, “Electronic Transport in Mesoscopic Systems” (1995)

One-channel case again:



$$G = \frac{2e^2}{h} T \quad (\text{transmission probability } T)$$

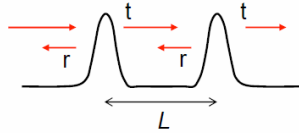
$$\text{Resistance} = \frac{h}{2e^2} \frac{1}{T} = \frac{h}{2e^2} \left(1 + \frac{1-T}{T}\right) = \frac{h}{2e^2} + \frac{h}{2e^2} \frac{R}{T} \quad (T + R = 1)$$

↑
scattering from barriers
(zero for perfect conductor)

↑
quantized contact resistance

Multiple Barriers, Coherent Transport

Two identical barriers in series:

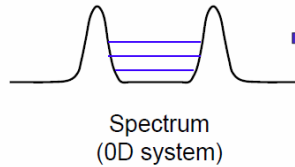


- Coherent, resonant transport
- $L < L_\phi$ (phase-breaking length); electron is truly a wave

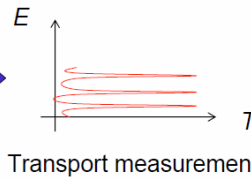
- Perfect transmission through resonant, quasi-bound states:

Quasi-bound states:
(‘electron-in-a-box’)

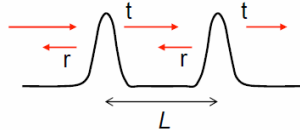
$$kL = \pi n$$



Resonant transmission:



Multiple Barriers, Incoherent Transport



- $L > L_\phi$ (phase-breaking length); electron phase gets randomized at, or between scattering sites

- Total transmission (no interference term):

$$T_{\text{total}} = \frac{|t_1|^2 |t_2|^2}{1 - |r_1|^2 |r_2|^2}$$

average mean
free path; remember
Matthiessen's rule!

- Resistance (scatters in series):

$$\text{Resistance} = \frac{h}{2e^2} \left(1 + \frac{|r_1|^2}{|t_1|^2} + \frac{|r_2|^2}{|t_2|^2} + \dots \right) = \frac{h}{2e^2} \left(1 + \frac{L}{\Lambda} \right)$$

- Ohmic addition of resistances from independent scatterers

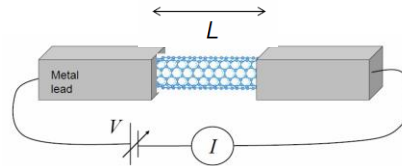
Where Is the Power (I^2R) Dissipated?

- Consider, e.g., a single nanotube

- Case I: $L \ll \Lambda$

$$R \sim h/4e^2 \sim 6.5 \text{ k}\Omega$$

$$\text{Power } I^2R \rightarrow ?$$



- Case II: $L \gg \Lambda$

$$R \sim h/4e^2(1 + L/\Lambda)$$

$$\text{Power } I^2R \rightarrow ?$$

- Remember $\frac{1}{\Lambda} \approx \frac{1}{\Lambda_{op.phon.}} + \frac{1}{\Lambda_{ac.phon.}} + \frac{1}{\Lambda_{imp.}} + \frac{1}{\Lambda_{def.}} + \frac{1}{\Lambda_{e-e}}$

1D Wiedemann-Franz Law (WFL)

- Does the WFL hold in 1D? \rightarrow YES
- 1D ballistic electrons carry energy too, what is their equivalent thermal conductance?

$$G_{th} = L\sigma_e T = \left(\frac{\pi^2 k_B^2}{3e^2} \right) \left(\frac{e^2}{h} \right) T = \frac{\pi^2 k_B^2 T}{3h} \quad (\text{x2 if electron spin included})$$

$$G_{th} \approx 0.28 \text{ nW/K at } 300 \text{ K}$$

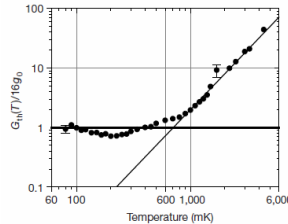
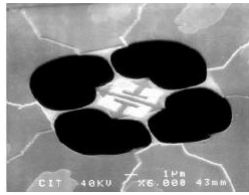
Thermal Conductivity and Lorenz Number for One-Dimensional Ballistic Transport

We study the thermal conductivity and Lorenz number of charge carriers for one-dimensional ballistic transport within the correlation function formalism. The carrier transit time between two ideal contacts is found to substitute for the collision time in the definition of a ballistic thermal conductivity. A universal thermal conductance $K = 2\pi^2 k_B^2 T/3h$ is naturally obtained for the degenerate case.

Greiner, Phys. Rev. Lett. (1997)

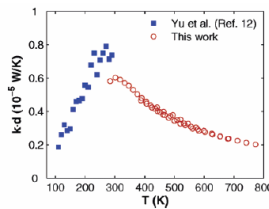
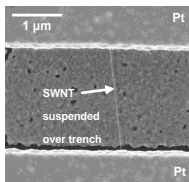
Phonon Quantum Thermal Conductance

- Same thermal conductance quantum, irrespective of the carrier statistics (Fermi-Dirac vs. Bose-Einstein)



Phonon G_{th} measurement in GaAs bridge at $T < 1$ K
Schwab, *Nature* (2000)

$$G_{th} = \frac{\pi^2 k_B^2 T}{3h} \approx 0.28 \text{ nW/K at } 300 \text{ K}$$



Single nanotube $G_{th} = 2.4$ nW/K at $T = 300$ K
Pop, *Nano Lett.* (2006)

Matlab tip:

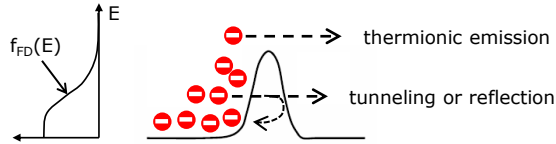
```
>> syms x;
>> int(x^2*exp(x)/(exp(x)+1)^2, 0, Inf)
ans =
1/6*pi^2
```

Electrical vs. Thermal Conductance G_0

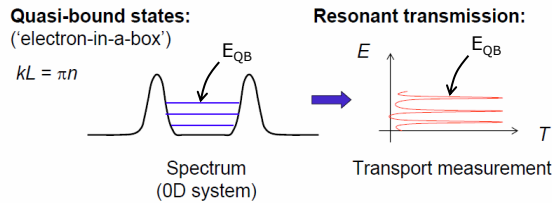
- Electrical experiments \rightarrow steps in the conductance (not observed in thermal experiments)
- In electrical experiments the chemical potential (Fermi level) and temperature can be independently varied
 - Consequently, at low-T the sharp edge of the Fermi-Dirac function can be swept through 1-D modes
 - Electrical (electron) conductance quantum: $G_0 = dI_e/dV$
- In thermal (phonon) experiments only the temperature can be swept
 - The broader Bose-Einstein distribution smears out all features except the lowest lying modes at low temperatures
 - Thermal (phonon) conductance quantum: $G_0 = dQ_{th}/dT$

Back to the Quantum-Coherent Regime

- Single energy barrier – how do you get across?

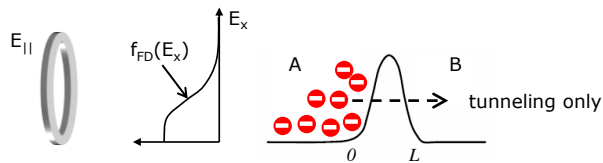


- Double barrier: transmission through quasi-bound (QB) states



- Generally, need $\lambda \sim L \leq L_\phi$ (phase-breaking length)

Wentzel-Kramers-Brillouin (WKB)



- Assume smoothly varying potential barrier, no reflections

$$T = \frac{|\psi_{trans.}|^2}{|\psi_{incid.}|^2} \approx \exp\left(-2 \int_0^L |k(x)| dx\right) \quad k(x) \text{ depends on energy dispersion}$$

$$J_{A \rightarrow B} = (\# \text{incident states}) \int f_A g_A T(E_x) (1 - f_B) g_B dE$$

E.g. in 3D, the net current is:

$$J = J_{A \rightarrow B} - J_{B \rightarrow A} = \frac{qm^*}{2\pi^2 \hbar^3} \int (f_A - f_B) g_A g_B T(E) dE \quad \text{Fancier version of Landauer formula!}$$

Band-to-Band Tunneling

- Assuming parabolic energy dispersion $E(k) = \hbar^2 k^2 / 2m^*$

$$T(E_x) \approx \exp\left(-\frac{4\sqrt{2m_x^*} E_x^{3/2}}{3q\hbar F}\right) \quad F = \text{electric field}$$

- E.g. band-to-band (Zener) tunneling in silicon diode

$$J_{BB} = \frac{q^3 F V_{eff}}{4\pi^3 \hbar^2} \sqrt{\frac{2m^*}{E_G}} \exp\left(-\frac{4\sqrt{2m_x^*} E_G^{3/2}}{3q\hbar F}\right)$$

See, e.g. Kane, *J. Appl. Phys.* 32, 83 (1961)

